

3 **Archaeological Investigations in the Shallow Seawater Environment**  
4 **with Electrical Resistivity Tomography**

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6 Kleanthis Simyrdanis \*<sup>1</sup>, Nikos Papadopoulos <sup>1</sup>, Jung-Ho Kim<sup>2</sup>, Panagiotis Tsourlos<sup>3</sup>, Ian  
7 Moffat<sup>1</sup>

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9 <sup>1</sup>Laboratory of Geophysical-Satellite Remote Sensing, Institute for Mediterranean Studies, Foundation for  
10 Research & Technology-Hellas (F.O.R.T.H.), Rethymno, Greece; [ksimirda@ims.forth.gr](mailto:ksimirda@ims.forth.gr)

11 <sup>2</sup> Korea Institute of Geoscience and Mineral Resources (KIGAM), Korea

12 <sup>3</sup> Department of Geophysics, School of Geology, Aristotle University of Thessaloniki

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14  
15 **ABSTRACT**

16 This work explores the applicability and effectiveness of Electrical Resistivity  
17 Tomography (ERT) in mapping archaeological relics in the shallow marine environment.  
18 The approach consists of a methodology based on numerical simulation models  
19 validated with comparison to with field data. Numerical modeling includes the testing of  
20 different electrode arrays suitable for multichannel resistivity instruments (dipole-  
21 dipole, pole-dipole, gradient). The electrodes are placed at fixed positions either floating  
22 on the sea surface or submerged at the bottom of the sea. Additional tests are made  
23 concerning the resolving capabilities of ERT with various seawater **depths** and target  
24 characteristics (dimensions and burial depth of the targets). Although valid 'a priori'  
25 information, in terms of water resistivity and thickness, can be useful for constraining  
26 the inversion, it should be judiciously to prevent erroneous information leading to  
27 misleading results. Finally, an application of the method at a field site is presented not  
28 only for verification of the theoretical results but at the same time for proposing  
29 techniques to overcome problems that can occur due to the special environment.  
30 Numerical and field ERT results indicated the utility of the method in reconstructing off-  
31 shore cultural features, demonstrating at the same time its applicability to be integrated  
32 in wider archaeological projects.

## 34 INTRODUCTION

35 During recent years there has been an increasing trend of employing the electrical  
36 resistivity method for off-shore applications and, in particular, the use of two  
37 dimensional (2D) electrical resistivity tomography (ERT) in water-covered areas (Wynn  
38 and Grosz 2000). ERT has been used: for mapping geological formations (Rucker et al.  
39 2011), to image the geological stratigraphy beneath water covered areas for tunnel and  
40 bridge construction projects on river or lake sites (Kwon et al. 2005, Kim et al. 2002;  
41 Allen, 2007; Colombero et al. 2014) and for the geotechnical characterization of the  
42 submerged subsurface prior to a port construction (Apostolopoulos, 2012). Marine ERT  
43 surveys have been also used to characterize the waterbed sediments (Orlando, 2013) or  
44 for mapping the beachrock (Psomiadis et al., 2009). Non-conventional underwater  
45 geoelectrical surveys have also been proposed for mapping lake-bottom geology in  
46 water depths exceeding 100m (Baumgartner and Christensen 1998).

47  
48 In contrast to the previous applications, the use of electrical resistivity method is  
49 uncommon in submarine archaeology and only limited studies have been presented  
50 (Passaro, 2010). ERT data acquisition is accomplished through a fixed cable that can  
51 float on the water surface or can be submerged in the sea bottom. These marine surveys  
52 can be undertaken with standard resistivity meters. The main challenge for mapping the  
53 subsurface stratigraphy in marine environments is the highly conductive nature of the  
54 seawater in comparison with the resistive sediments (Lagabrielle, 1983). However there  
55 are some issues that need to be solved concerning the installation of the electrodes and  
56 the most appropriate modeling and inversion approaches to cope with the special  
57 conditions that are found in such environments (Loke and Lane 2004).

58  
59 This study investigates the efficiency of ERT for mapping archaeological relics buried  
60 beneath the sediment-water interface in shallow marine environments. In order to  
61 undertake a thorough study of an archaeological survey in this environment, extensive  
62 testing was performed with numerical modeling and synthetic data. Initially, different  
63 electrode arrays were tested in order to determine the most efficient one for such  
64 surveys. Data acquired using floating or submerged electrodes were compared and 'a  
65 priori' information during the inversion procedure was introduced taking into  
66 consideration the water depth and the resistivity of the seawater. Additional tests were

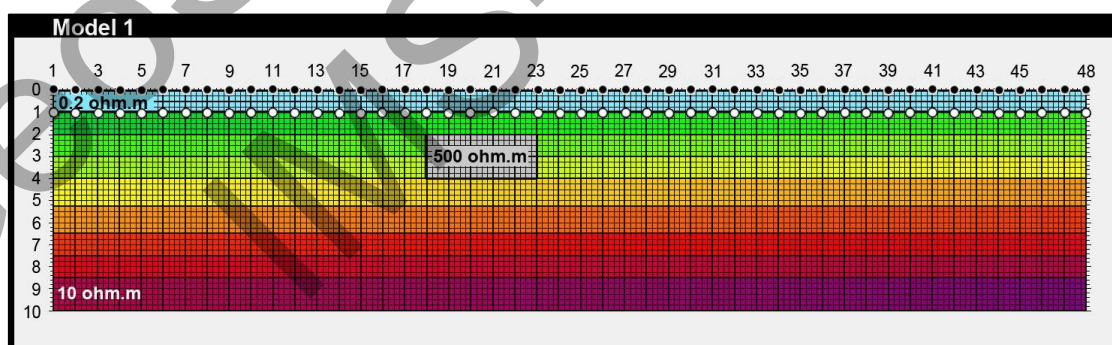
67 undertaken to determine the ERT horizontal and vertical resolving capabilities. Finally, a  
68 case scenario is presented from an archaeological site in the island of Crete, in an effort  
69 to validate the numerical results.

70

## 71 **METHODOLOGY**

72 The 2D ERT numerical experiments were performed with a proven 2D forward and  
73 inversion algorithm ('DC2DPro' by Kim and Yi, 2010). The program is based on a 2.5D  
74 finite element routine to solve the forward resistivity problem and an iterative least  
75 squares algorithm with Active Constrain Balancing (ACB) for reconstructing the  
76 subsurface resistivity models.

77 A typical resistivity model that is used for the numerical simulations is shown in Figure  
78 1. The number of the electrodes is 48 with the probe spacing set to  $a=1\text{m}$ . Both cases  
79 with floating (indicated with black dots) and submerged (indicated with white dots)  
80 position of the electrodes are tested. The seawater resistivity value is defined to  
81  $\rho_{\text{water}}=0.2\text{ ohm}\cdot\text{m}$  and for the homogeneous medium below sea bottom is set to  $\rho_{\text{back}}=10$   
82  $\text{ohm}\cdot\text{m}$ . Furthermore, the thickness of the column of the sea is set to  $D=1\text{m}$  for most of  
83 the cases, except when the effect of the column thickness is studied, in which case  
84 different depths are introduced. A resistive target with  $\rho_{\text{target}}= 500\text{ ohm}\cdot\text{m}$  is used to  
85 simulate an archaeological structure (e.g. wall). The dimension of the target is  $5\times 2\text{m}$  in  
86 all cases except when the resolving ability of the arrays is tested and thus different  
87 target sizes are used.



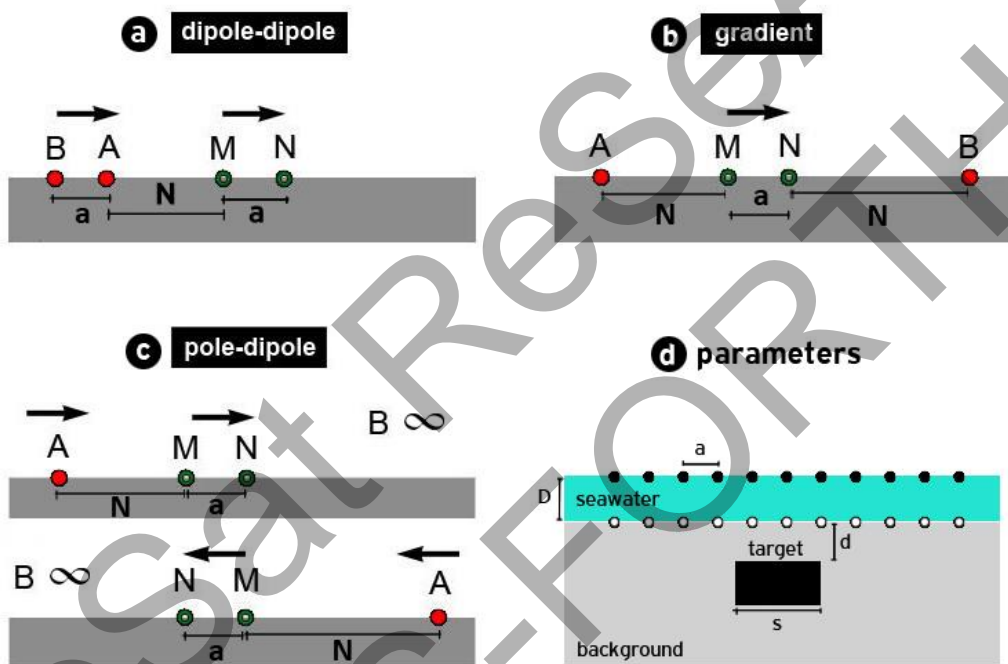
88

89 Figure 1. Synthetic model 1 for comparing different protocols using floating (black dots) and submerged (white dots)  
90 electrodes. Water depth  $D=1\text{m}$ ,  $\rho_{\text{water}}= 0.2\text{ ohm}\cdot\text{m}$ ,  $\rho_{\text{target}}= 500\text{ ohm}\cdot\text{m}$ ,  $\rho_{\text{back}}= 10\text{ ohm}\cdot\text{m}$ . Electrode spacing is set to  
91  $a=1\text{m}$ .

92 Different electrode arrays (Figure 2a, b and c), mainly suitable for multichannel  
93 resistivity meters, like: dipole-dipole ('dd'), gradient ('grd') and pole-dipole ('pd') were

94 tested. All pole-dipole arrays include the combination of forward and reverse  
 95 measurements with the electrode B placed at a practically infinite distance (e.g. more  
 96 than 10 times the largest distance between A and M electrodes. Electrode maximum  
 97 separation distance is  $N=7a$  for dipole-dipole and pole-dipole and  $N=20a$  for gradient  
 98 array. The separation between A-B and M-N electrodes was increased from  $1a$  to  $5a$  ('a'  
 99 the electrode spacing) in an effort to increase the signal to noise ratio. Synthetic data  
 100 were corrupted with gaussian noise of  $\pm 0.05\text{mV/V}$  into the potential values in order to  
 101 better simulate a real case scenario.

102



103

104 Figure 2. Electrode arrays used for marine ERT measurements (a, b and c). For the pole-dipole the forward and  
 105 reverse modes were used to create the measurement protocols. Electrodes A and B are used to inject the current. The  
 106 potential difference is measured in M and N electrodes. At the bottom right side, the parameters that are used are  
 107 depicted (d).

108 Since the whole marine survey is done in a shallow water environment it is straight  
 109 forward to obtain the water depth and measure the resistivity of the seawater. This data  
 110 can be introduced during the inversion procedure as 'a priori' information so as to  
 111 constrain the inversion. The 'a priori' information is used either by introducing a  
 112 variable weighting value on the resistivity values of the parameters that correspond to  
 113 the water layer (Kim et al., 2014) or by fixing the respective parameter resistivity values  
 114 throughout the inversion procedure. The variable weighting starts with an initial value

115 and decreases at each iteration of the inversion. For the specific study the initial value  
116 was set to 0.2, after numerous trial and error testing. Additional cases with erroneous 'a  
117 priori' information were also tested by over or under estimating the true value of the  
118 water resistivity and thickness. At the same time the **water depth** ('D') is a significant  
119 factor regarding the resolving capability of the arrays due to the absorption of the  
120 current energy from the conductive sea layer. For this reason, different **water depths** are  
121 tested (**Figure 2d**), as well as different **depths 'd'** of the target itself below sea bottom. In  
122 all synthetic models the inversion algorithm was terminated after 7 iterations unless  
123 some other criteria were met (e.g. slow convergence rate of less than 3%, rms error  
124 smaller than the noise level).

125

## 126 **NUMERICAL SIMULATIONS**

### 127 *Efficient protocols and Floating vs. Submerged electrodes*

128

129 Synthetic Model 1 (Figure 1) is used for comparison between the arrays dipole-dipole  
130 ('dd'), pole-dipole ('pd') and gradient ('grd') as well as the floating (indicated by black  
131 dots) and submerged (indicated by white dots) modes for the electrodes' layout. The  
132 final resistivity models in these cases resulted without adding any 'a priori' information  
133 in the inversion procedure.

134

135 Regarding the floating electrode mode and a **water depth** of  $D=1\text{m}$ , 'dd' array is not able  
136 to reconstruct the target satisfactorily since some distortions appear below the target,  
137 transforming its original shape (Figure 3, left panel). On the contrary 'pd' and 'grd'  
138 arrays have better inversion results regarding the shape of the target. Both 'pd' and 'grd'  
139 arrays have smaller rms error ('pd': 0.56%, 'grd': 0.44%) in comparison to the 'dd' array  
140 ('dd': 1.51%).

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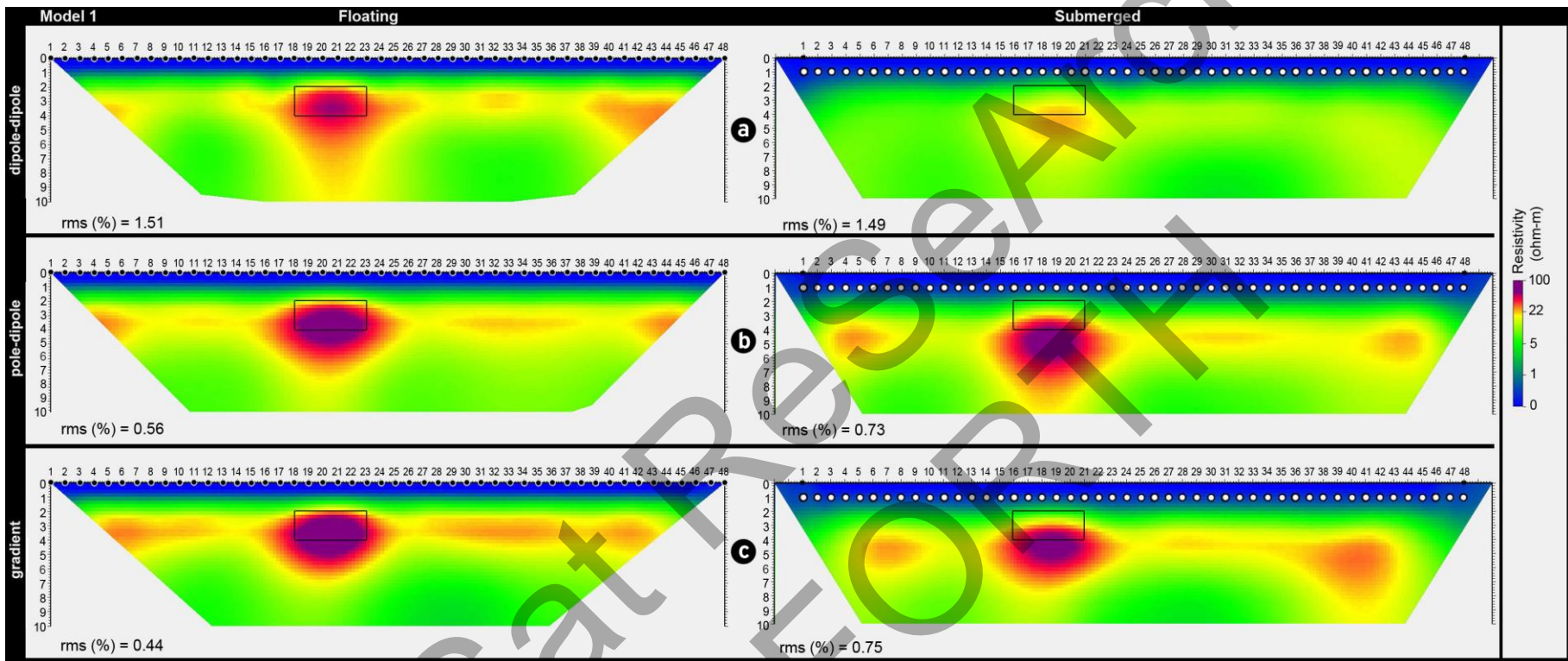
142 When using the submerged electrodes, the shape of the target is slightly better  
143 reconstructed by 'dd' and **'grd'**. The 'pd' submerged inversion model shows a slight  
144 vertical distortion of the target. However in all cases the original position of the resistive  
145 prism is vertically downward shifted (Figure 3, right panel). At the same time it can be  
146 observed that the floating electrode configuration produces higher resistivity values, in  
147 comparison with the submerged ones.

148

149 All the models in Figure 3 show some artifacts as resistive regions that appear close to  
150 the edges of the inversion images. This is attributed to the large resistivity contrast  
151 between the highly conductive **seawater** layer and the less conductive background. The  
152 numerical limitations of the modeling and inversion **procedures** cannot efficiently cope  
153 with this two orders of magnitude resistivity contrast. During inversion procedure, the  
154 lack of ability to match the theoretical with the corrected apparent resistivity values at  
155 each iteration leads to the appearance of those artifacts. Extensive testing (not shown  
156 here) with smaller resistivity contrasts between the **seawater** and background layers  
157 eliminated these inversion artifacts.

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Figure 3. (Model 1) Inversion results with different protocols (a) dipole-dipole, (b) pole-dipole and (c) gradient using 48 floating (black dots, left), submerged (white dots, right) electrodes with spacing  $a=1\text{m}$ . Water depth is set to  $D=1\text{m}$ .

163 *Effect of Water Depth (D)*

164

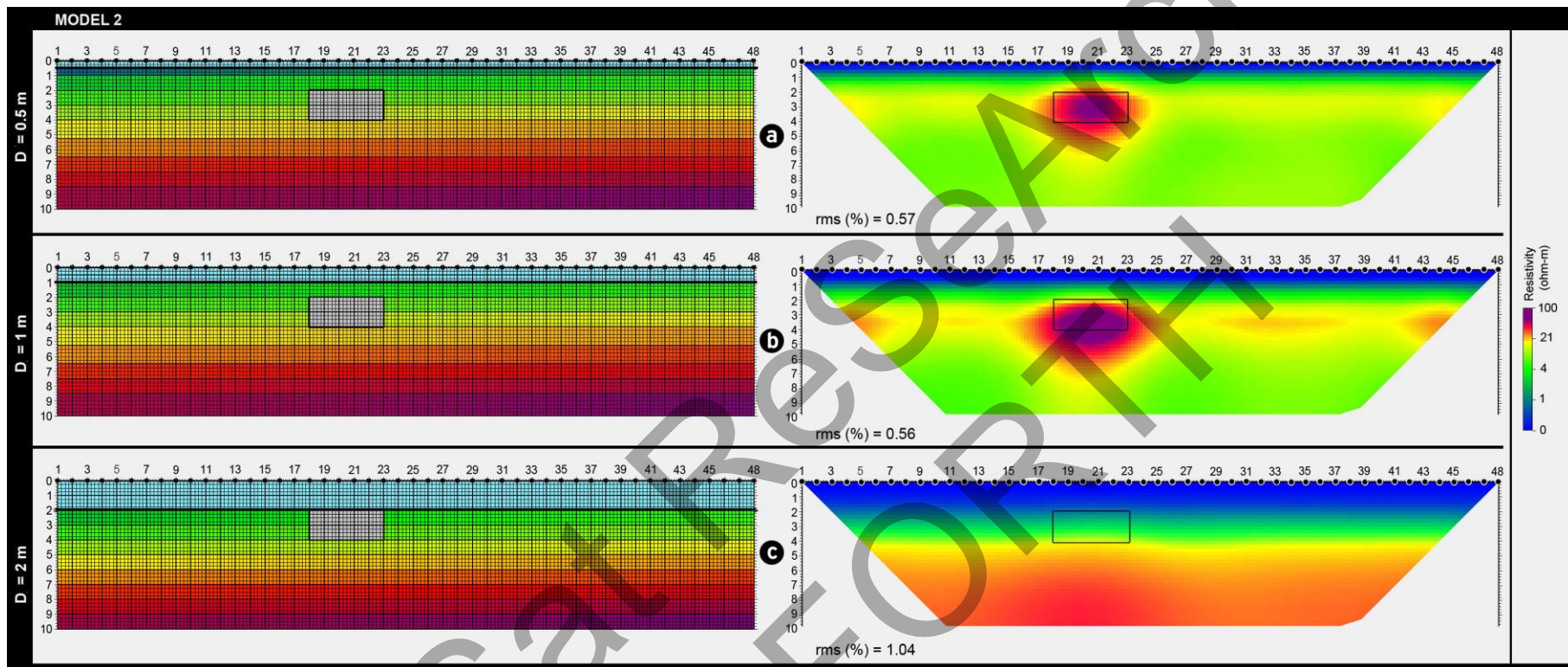
165 **Accurate constraint of water depth** (D) is crucial for the marine investigation, since  
166 seawater is a very conductive medium and is responsible for severe current attenuation.  
167 Towards this direction, Model 2 (Figure 4, left panel) was constructed in order to  
168 investigate the maximum water **depth**, to which ERT would be effective in locating  
169 isolated resistive targets. The resistive prism with dimensions **of** 5m by 2m was placed  
170 at a depth of 2m below the sea surface. The cases of increasing thickness of the  
171 conductive water were evaluated (Figure 4a, b, c) and the respective final inversion  
172 models resulted without imposing any 'a priori' information within the inversion  
173 procedure.

174

175 In this case a 'pd' protocol was used for three different water **depth** values (a) D=0.5m,  
176 (b) 1m and (c) 2m and the 2D inversion results are shown in Figure 4 (right panel). The  
177 reconstructed resistivity sections signify that the resolving capabilities of floating ERT  
178 survey mode, in terms of mapping isolated targets, are constrained from the seawater  
179 layer thickness. If the seawater layer exceeds the thickness of 1m it is impossible to  
180 reconstruct the isolated archaeological resistive body and the tomographic image  
181 retrieves information only for the **horizontal** stratigraphy and the transition from the  
182 sea to the background layer (Figure 4c). Additionally, more artifacts appear as the  
183 seawater thickness increases. It should be noted that, when the water layer thickness is  
184 set to D=0.5 m (Figure 4a), there are less artifacts on the inversion result.

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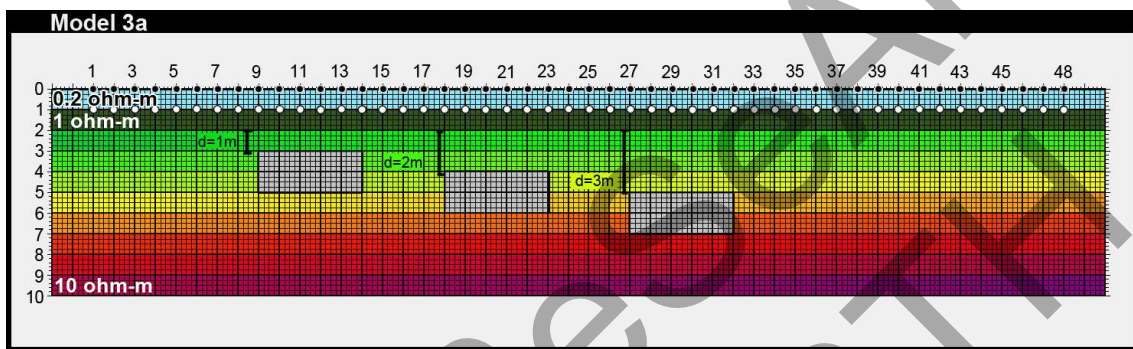
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Figure 4. (Model2 ) Synthetic model for comparing different water depth using protocol pole-dipole with floating (black dots) electrodes. Water depths: (a) D1=0.5m, (b) D2=1m and (c) D3=2m,  $\rho_{\text{water}} = 0.2 \text{ ohm-m}$ ,  $\rho_{\text{target}} = 500 \text{ ohm-m}$ ,  $\rho_{\text{back}} = 10 \text{ ohm-m}$ , electrode spacing  $a = 1\text{m}$  (left). 2-D inversion results (right).

190 *Location and Dimension of the Targets*

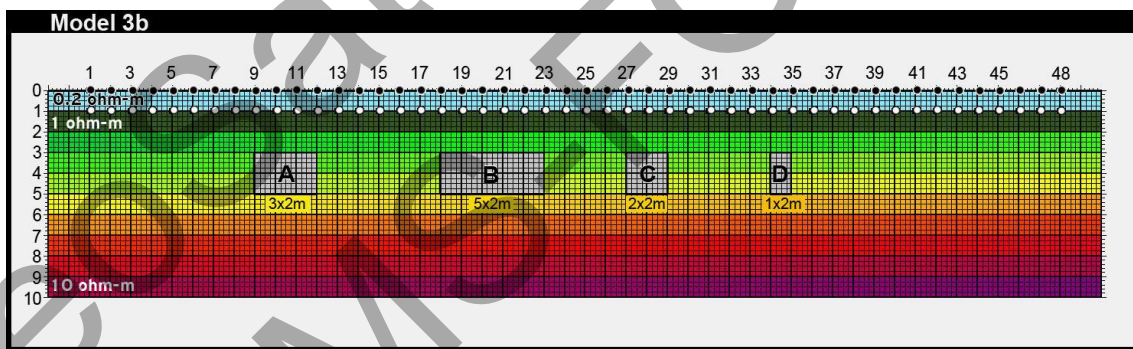
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192 The nature of the isolated archaeological target itself is a crucial factor that should be  
193 considered during marine investigations. For that purpose, extra models were created  
194 regarding (a) the burial depth of the target below the bottom of the sea (Figure 5) and  
195 (b) the size of the target (Figure 6). Both floating and submerged electrodes are used. In  
196 the specific numerical experiments the option of using 'a priori' information was  
197 enabled for the case of submerged electrodes.



198

199 Figure 5. Synthetic Model 3a for studying different target burial depths ( $d=1\text{m}$ ,  $2\text{m}$  and  $3\text{m}$ ) of three resistive targets  
200 using different protocols with floating (black dots) and submerged (white dots) electrodes. Water depth  $D=1\text{m}$ ,  $\rho_{\text{water}}=$   
201  $0.2\text{ ohm-m}$ ,  $\rho_{\text{target}}= 500\text{ ohm-m}$ ,  $\rho_{\text{back}}= 10\text{ ohm-m}$ . Overburden layer  $1\text{m}$  thick with  $\rho_{\text{ob}}=1\text{ ohm-m}$ . Electrode spacing  
202  $a=1\text{m}$ .



203

204 Figure 6. Synthetic Model 3b for studying different target sizes (A, B, C and D) of resistive targets using protocol pole-  
205 dipole with floating (black dots) and submerged (white dots) electrodes. Water depth  $D=1\text{m}$ ,  $\rho_{\text{water}}= 0.2\text{ ohm-m}$ ,  
206  $\rho_{\text{target}}= 500\text{ ohm-m}$ ,  $\rho_{\text{back}}= 10\text{ ohm-m}$ . Overburden layer  $1\text{m}$  thick with  $\rho_{\text{ob}}=1\text{ ohm-m}$ . Electrode spacing  $a=1\text{m}$ .

207 The top row of Figure 7a shows that the 'dd' protocol is not able to detect the targets  
208 when they are buried more than 2m below the sea bottom in the presence of the extra  
209 conductive layer (overburden). This happens regardless the survey mode (floating or  
210 submerged). Once more, the inverted resistivity values of the submerged mode of 'dd'  
211 protocol are smaller than the respective values of the floating mode results. On the other  
212 hand, 'pd' and 'grd' are more successful in outlining the different targets, with the 'pd'

213 giving slightly superior results both for the floating and submerged survey modes, as the  
214 targets can be more clearly distinguished (Figure 7b, c). As it was expected the  
215 submerged 'pd' and 'grd' surveys were able to reconstruct the deeper buried targets  
216 with greater clarity than the floating one. At the same time the incorporation of the 'a  
217 priori' information within the inversion procedure minimized the downward shifting of  
218 the targets as well as the spurious effects at the edges of the inversion images.

219

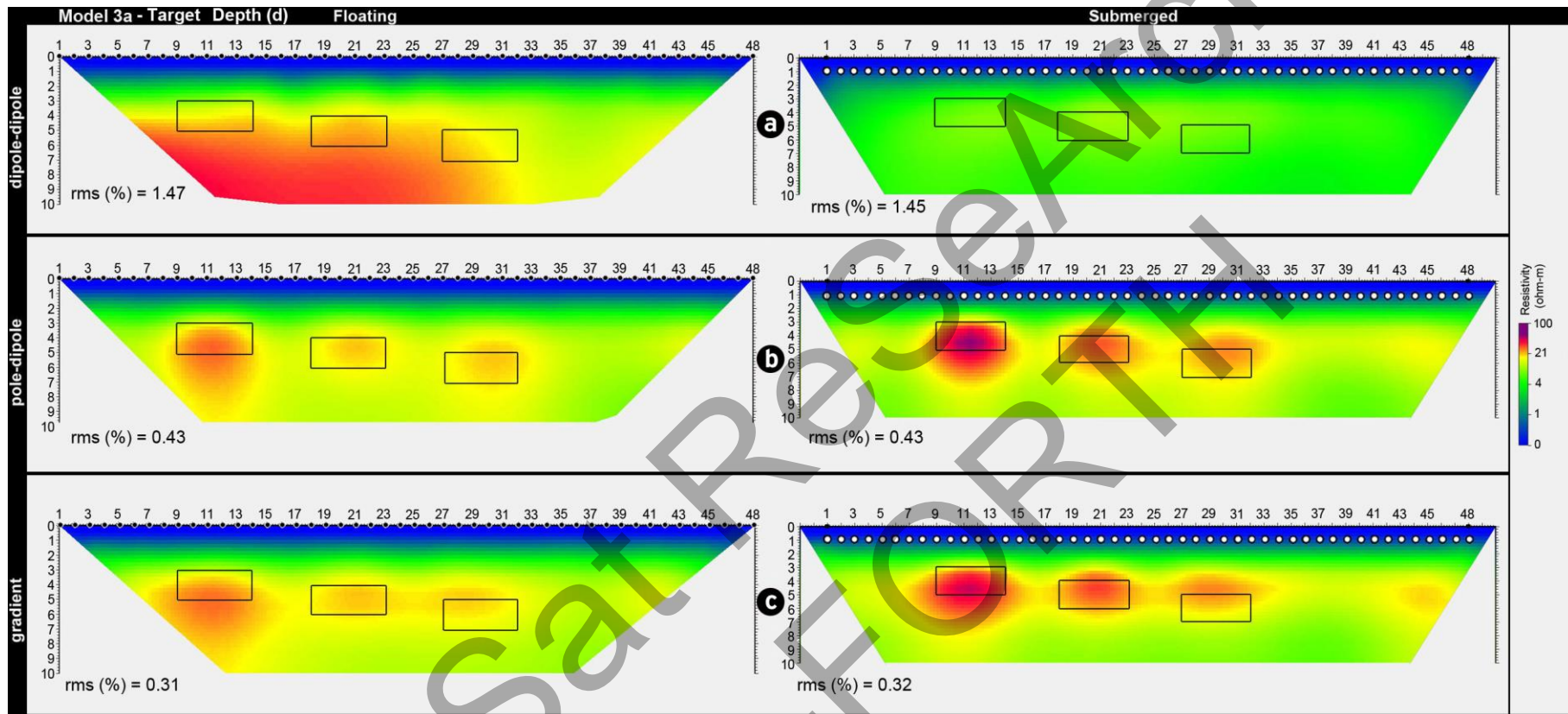
220 In Model 3b (Figure 8) the horizontal resolving capability of the 'pd' array, in floating  
221 and submerged modes, is examined for the reconstruction of resistive bodies with  
222 similar resistivity values (500 ohm-m) but different dimensions. In general, the smaller  
223 bodies (C and D) aren't outlined by the floating survey mode and only the body C is  
224 faintly reconstructed by the submerged survey mode. The larger targets A and B are  
225 better reconstructed with both survey modes in relation to targets C and D. At the same  
226 time the resistivity images show the superiority and the higher resolving capabilities of  
227 the submerged ERT survey mode for outlining isolated targets in cases of complicated  
228 subsurface stratigraphy.

229

230 A significant number of synthetic modeling experiments were completed with multiple  
231 combinations of the basic probe spacing ('a'), the water depth ('D'), the burial depth ('d')  
232 and the size ('s') of the isolated targets. This extensive testing suggested a first order  
233 generalization regarding the optimum survey strategy that could be employed in real  
234 situations. Thus an effective ERT shallow marine survey should employ a probe spacing  
235 half the smallest target dimension ( $a \leq s/2$ ). For example, if we are trying to locate a wall  
236 with 2 meters horizontal dimension, the probe spacing should not be more than 1m. The  
237 basic electrode distance ('a') has to be less or equal the water depth ('D') regarding  
238 floating survey modes for successfully mapping isolated targets. If a greater electrode  
239 spacing greater than the water depth must be used, the submerged ERT survey mode is  
240 more appropriate. Furthermore, depending on the electrode configuration (Figure 7b, c)  
241 the ERT inversion image can reconstruct targets that are buried even at a depth below  
242 the bottom of the sea five times larger than the water depth. It seems that pole-dipole  
243 and gradient arrays, both in floating and submerged survey modes, show strong  
244 resolving capabilities that can be used for the efficient mapping of submerged cultural

245 objects (Figure 7b and c). On the other hand dipole-dipole protocols show significant  
246 deficiency in outlining isolated targets in the shallow marine environments.

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Figure 7. (Model 3a) Inversion results for studying target depth with different protocols (a) dipole-dipole, (b) pole-dipole and (c) gradient using 48 floating (left) and submerged(right) electrodes with spacing  $a=1m$ .

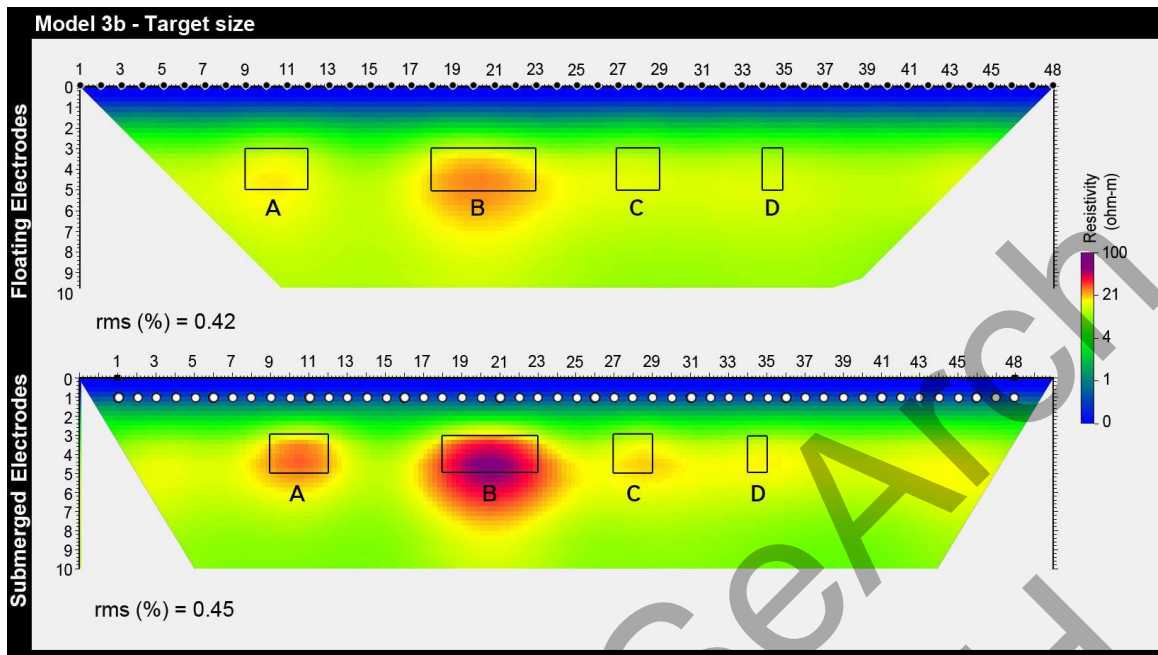


Figure 8. (Model 3b) Inversion results with protocol pole-dipole using floating (top) and submerged (bottom) electrodes with spacing  $a=1m$ .

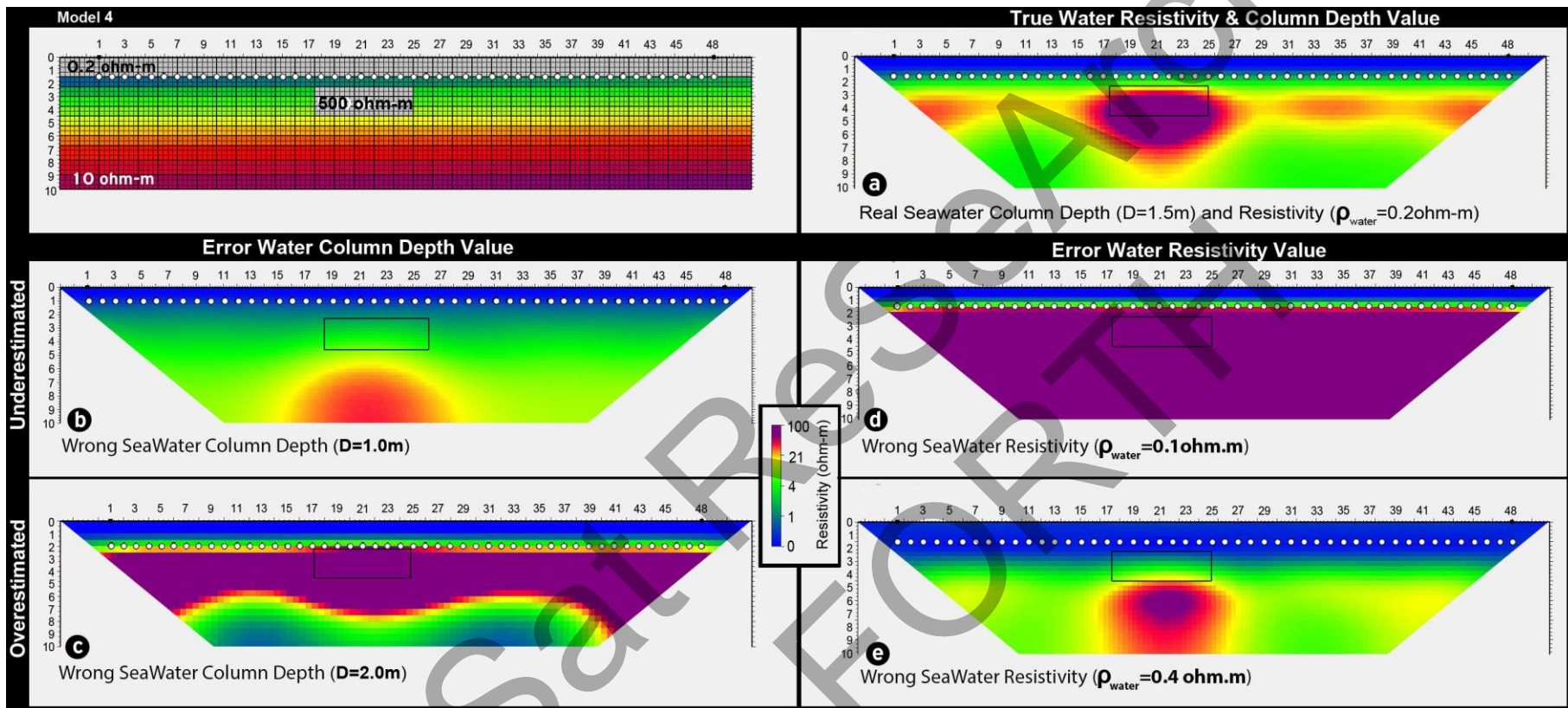
#### Effect of erroneous 'a priori' information

'A priori' information plays a significant role in the successful imaging of the subsurface in marine environments. In case of invalid 'a priori' information, erroneous inversion results may occur. To test this hypothesis Model 4 is created (Figure 9, top left) where only submerged electrodes were used. The electrode spacing is set to  $a=1m$ , a new target ( $7 \times 2m$ ) is used ( $\rho=500 \text{ ohm-m}$ ) which is placed at the depth of 2m below the seawater surface at all cases and the chosen array is pole-dipole. Initially, as shown in Figure 9a, an inversion was made where the correct values of seawater depth and resistivity value are set. This inversion image was used as a reference for comparison with the following tests where erroneous water depth (Figure 9b, c) or resistivity values (Figure 9d, e) were introduced to the inversion procedure.

Under-estimating (Figure 9b) or over-estimating (Figure 9c) the correct water depth has serious effects in the final resistivity image since the resistive target can not be outlined in either cases. Similar distortions appear when assigning erroneous information for the seawater resistivity. Underestimating the seawater resistivity value (Figure 9d) results in the failure of the method to locate the target, regardless the applied resistivity scale. The overestimation of the resistivity value (Figure 9e) forces the target to be shifted

272 vertically downwards. These tests clearly demonstrate the importance of incorporating  
273 valid information for the water depth and its resistivity within the inversion procedure  
274 in order to reconstruct resistivity models that correspond to reality and the actual  
275 subsurface conditions.

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Figure 9. Synthetic Model 4 for studying erroneous 'a priori' information (over- or under-estimated) using protocol pole-dipole with submerged (white dots) electrodes. True water depth is  $D=1.5\text{m}$  and real resistivity value is  $\rho_{\text{water}}=0.2\text{ ohm-m}$ ,  $\rho_{\text{target}}=500\text{ ohm-m}$ ,  $\rho_{\text{back}}=10\text{ ohm-m}$ . Electrode spacing  $a=1\text{m}$ .



280 **FIELD CASE STUDY**

281 The first attempts at employing the ERT in a marine archaeological area were  
282 undertaken in the littoral archaeological site of Agioi Theodoroi in Crete (Greece). The  
283 experimental ERT survey was integrated in a wider project aiming to reconstruct and  
284 understand the past cultural dynamics of the specific site. The initiative includes the  
285 employment of geoinformation technologies like GPS mapping and aerial photography  
286 for documenting the visible and submerged archaeological material.

287

288 The coastal archaeological area of Agioi Theodoroi is located about 10 km east of the city  
289 of Heraklion in Crete, Greece (Figure 10). The area was subject to systematic excavations  
290 during the early 20<sup>th</sup> century that revealed the existence of seaside buildings and wall  
291 constructions that continue towards the sea, dating since the Minoan Times (Marinatos,  
292 1926). Recent archaeological surveys included the mapping and photo capturing of the  
293 submerged structural relics with underwater camera (Figure 10).



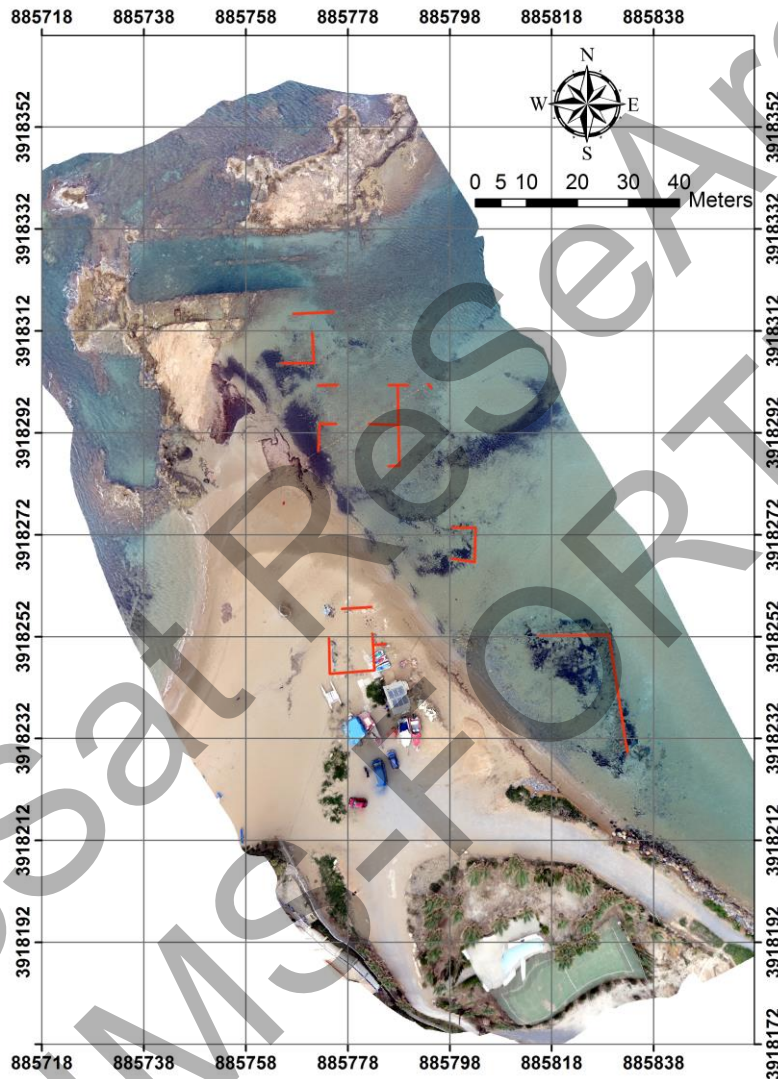
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295 Figure 10. Site for marine investigation and archaeological targets (Heraklion, Crete).

296

297 In order to complete the wider picture of the visible on-shore and off-shore relics, a high  
298 resolution aerial photography survey was undertaken using standard photo camera  
299 mounted on a kite. The photographs were taken at an altitude of between 50 and 100  
300 meters using an exposure time of 1/1000 sec and an ISO of 160 at a time increment of  
301 every five seconds. In total, 172 photos were selected for inclusion in the final model and  
302 combined into a composite orthophoto using a commercial software (Agisoft  
303 Photoscan). The orthophoto was georectified to Universal Traverse Mercator Reference  
304 System using ground control points collected with a differential GPS. The location of the

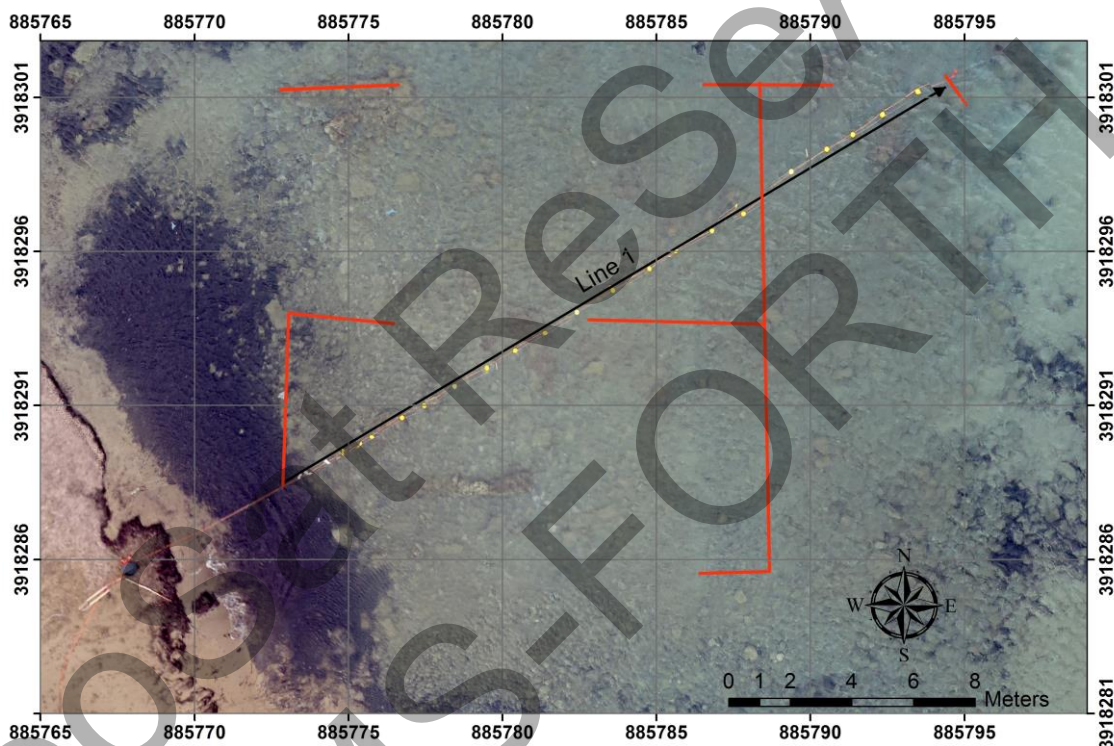
305 visible relics was also mapped with the same GPS unit. The orthophoto (Figure 11) was  
306 used as a base map for plotting the position of the underwater features which were  
307 recorded during fieldwork as well as the location of ERT line. This combined plan  
308 allowed the direct comparison between the geophysical results, archaeological features  
309 and the survey area during data processing and interpretation.



310  
311 Figure 11. Aerial photo of the survey area in Agioi Theodoroi. The red lines indicate the location of the on-shore and  
312 off-shore archaeological relics that were visible from the aerial photo and those mapped with the differential GPS  
313 system.

314  
315 To validate the efficiency of marine resistivity archaeological investigations in field  
316 situations ERT Line 1 was laid out in a southwest-northeast direction running for a total  
317 length of 24 meters (Figure 12). The first electrode was submerged into the water at a  
318 distance of 1 meter away from the shoreline. The line was composed of 25 electrodes

319 equally spaced every 1 meter. A 10-channel resistivity meter and a multimode marine  
320 cable composed of stainless steel cylindrical electrodes were used for the data capturing.  
321 The same cable was used for floating and submerged electrode position modes.  
322  
323 Protocols dipole-dipole, pole-dipole and gradient were used with maximum separation  
324  $N=8a$  and  $1a$ ,  $2a$  and  $3a$  (where 'a' is the electrode spacing). For the pole-dipole array,  
325 the "B" electrode ('infinite') was set at a distance of more than 150m away in a SE  
326 direction and perpendicular to direction of the survey line and embedded inside the sea.  
327 To ensure that it didn't move during the survey it was stabilized with a heavy rock.



328  
329 Figure 12. Outline of the Line 1 that was used to validate the efficiency of ERT underwater survey. The yellow dots  
330 show the floaters that were used in every electrode position to keep the cable floating. The red lines outline the  
331 submerged archaeological relics that were mapped.

332  
333 The ERT line crossed over three known walls whose position has already been identified  
334 by field observations and mapped with the differential GPS. Specifically, electrodes '3',  
335 '4', '13', '14', '15' and '21', '22' were exactly above the wall relics as shown in Figure 12.  
336 For the floating survey mode long wooden sticks were embedded in bottom of the sea at  
337 the beginning and at the end of the survey line to keep the cable fixed and steady during  
338 the measurements. Plastic bouys were tied along the cable to facilitate the floating of the

339 electrodes (Figure 13). During the submerged mode, rocks were placed along the cable  
340 between the electrodes to ensure it remained stationary on the sea floor.

341

342 A hand held conductivity meter was used to measure the seawater resistivity at least 5  
343 different points along the line which had an average value 0.19 Ohm-m with  
344 temperature 22.4°C. The **water depth** was measured at each probe position, using a  
345 plastic calibrated stick of 2m length in total (Figure 13, embedded photo top right). The  
346 **water depth** values deepened from 46cm to 96cm away from the shoreline. This  
347 information was later incorporated into the inversion procedure.



348

349 Figure 13. Photo with floating electrodes set up, equipment used (embedded bottom left), **water depth** calculation  
350 with a plastic calibrated stick (embedded up right).

351

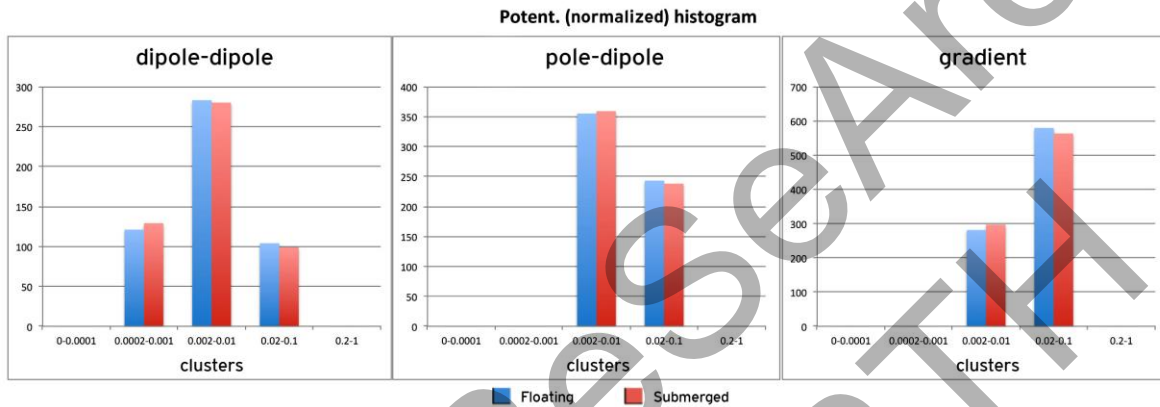
352 As it was expected, very low potential values were measured with all the arrays due to  
353 the conductive environment. After potential normalization with corresponding current  
354 values the corresponding histograms for the field measurements of each array (dipole-  
355 dipole, pole-dipole and gradient) are shown in Figure 14. Values for floating electrodes  
356 are colored blue and for submerged ones are colored red. The basic statistical analysis of  
357 the normalized potential values regarding the minimum, maximum and average values  
358 are shown in **Table 1**. Floating and submerged survey modes for all the arrays show  
359 **comparable** signal. However dipole-dipole has the lowest signal compared to pole-  
360 dipole and gradient, reflects the inversion results shown in the respective numerical  
361 modeling examples. On the other hand, pole-dipole and gradient protocols exhibit  
362 stronger signal which, in turn, is attributed to the more resolvable resistivity inversion  
363 models.

364

Normalized potential values	Dipole-Dipole		Pole-Dipole		Gradient	
	Floating	Submerged	Floating	Submerged	Floating	Submerged
MIN	0.0004	0.0003	0.0019	0.0019	0.003	0.0029
MAX	0.0477	0.0453	0.0737	0.0678	0.0718	0.0763
AVERAGE	0.0064	0.0060	0.0132	0.0127	0.0186	0.0175

365  
366

Table 1. Normalized potential values (min, max and average) for all arrays and both floating and submerged electrodes.



367

368  
369

Figure 14. Histograms with normalized potential values for each array (dipole-dipole, pole-dipole and gradient) for floating and submerged electrodes.

370

371 Inversion results for floating electrodes (Figure 15, left panel) show that the protocol  
 372 pole-dipole after 7 iterations with rms error 1.22%, reconstructed the targets (shown  
 373 with letters 'A', 'B' and 'C') that have already been seen with diving at the depth of 2m  
 374 below sea level with resistivity value of 5 ohm-m. Some additional smaller targets are  
 375 also mapped that were not visible through diving. As seen the synthetic data, some  
 376 artifacts are created during the inversion at the edges of the survey line and should be  
 377 taken into account when dealing with field data. For that reason, we recommend the  
 378 survey line to be longer than the target area in order not to have artifacts at the edges  
 379 that can be confused as potential targets. For this specific area for practical reasons (the  
 380 length of the cable) it was not possible to have longer survey line. Similar inversion  
 381 results are shown when using protocol gradient after 7 iterations and rms error 1.87%,  
 382 since the targets are reconstructed at the same position as pole-dipole.

383

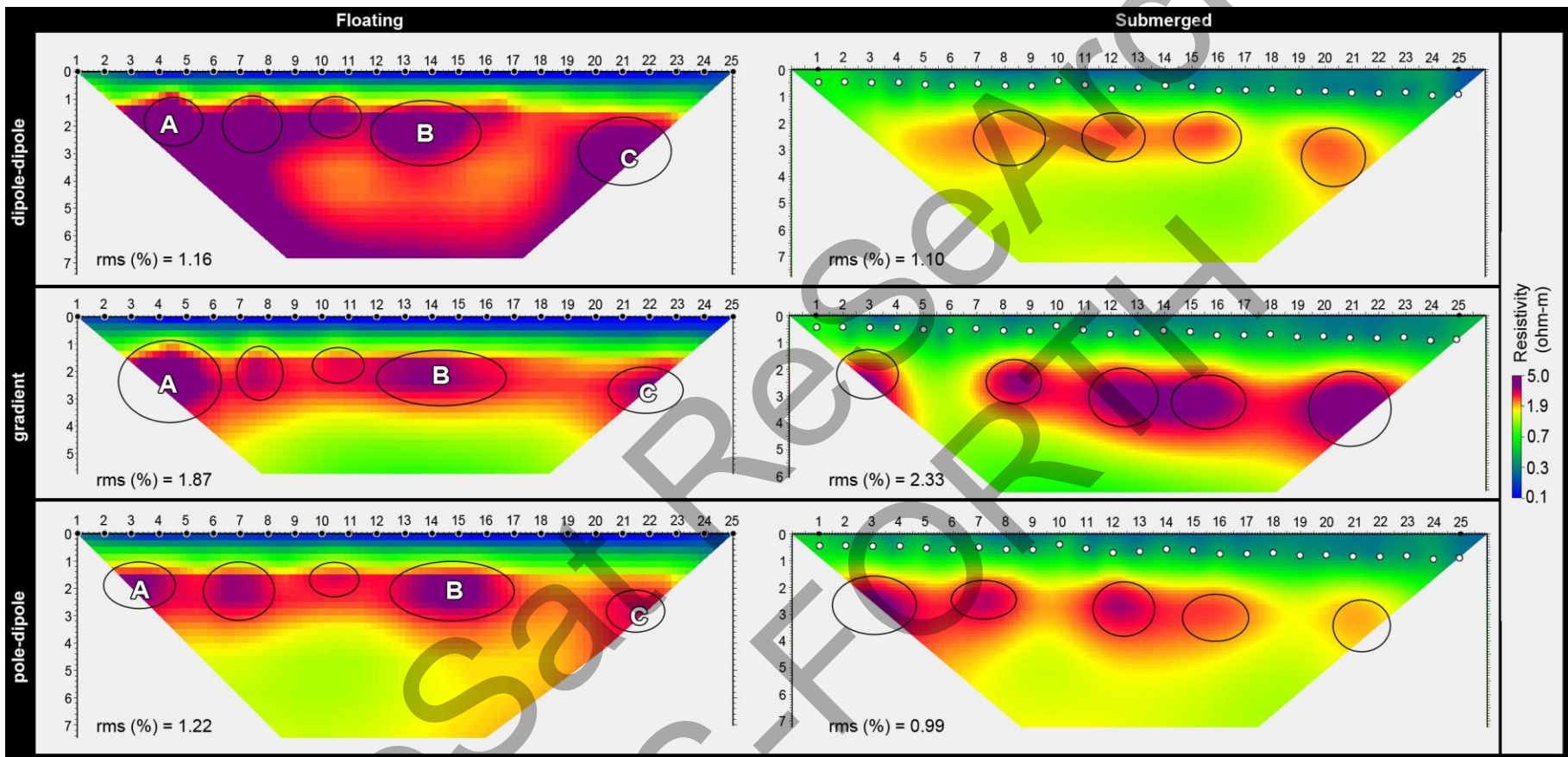
384 The dipole-dipole protocol (after 7 iterations and with 1.16% rms error) is able to locate  
 385 the targets but they are less visible due to the weaker signal to noise ratio. Also a

386 resistive layer is located at the depth of 7m but it should be considered as an artifact due  
387 to the poor reconstruction of the deeper part of the dipole-dipole model.

388

389 When submerged electrodes are used (Figure 15, right panel), with protocol pole-dipole  
390 (after 7 iterations with rms error 1%) the targets are reconstructed slightly shifted  
391 downwards, following the results shown in the numerical simulation. Gradient array  
392 model shows comparable results with 2.33% rms error and 7 iterations having at the  
393 same relatively higher resistivity values than pole-dipole. When protocol dipole-dipole  
394 is used less artifacts are observed in relation to the floating model but the targets are  
395 more difficult to be distinguished. The rms error is 1.10% and the target resistivity  
396 values are less than 5 ohm-m.

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Figure 15. Inversion results with both floating (left) and submerged (right) electrodes using all protocols (dd, grd and pd). The archaeological targets are highlighted with black circles. Letters A, B and C indicate relics that are exposed from the sea bottom and can be easily seen.

## 400 CONCLUSIONS

401 This work examined the efficiency of Electrical Resistivity Tomography in mapping  
402 isolated archaeological targets in marine environments using both numerical  
403 simulations and validation with real data. The synthetic inversion results show that the  
404 targets simulating walls can be detected and among the tested arrays used, pole-dipole  
405 seems to have superior results in relation to gradient and dipole-dipole arrays.

406

407 Numerical modeling proposes a probe spacing half the smallest target dimension to  
408 ensure that archaeological features can be resolved. Floating and submerged survey  
409 modes can be used equally successfully in cases of relative shallow marine  
410 environments when the **water depth** doesn't exceed one meter. In deeper marine  
411 environments the submerged mode survey is recommended for outlining isolated  
412 targets.

413

414 In general it seems that pole-dipole and gradient arrays, both in floating and submerged  
415 survey modes, show strong resolving capabilities in mapping submerged cultural  
416 structures. The weak signal of dipole-dipole renders it inappropriate for outlining  
417 isolated targets in the shallow marine environments.

418

419 Valid 'a priori' information, in terms of the seawater resistivity and thickness, **is**  
420 important and can greatly improve the inversion results for the data captured with the  
421 submerged ERT mode. On the other hand erroneous information can cause severe  
422 distortions in the inversion ERT models and misleading interpretations.

423

424 The proposed methodology was applied in a field situation of a submerged  
425 archaeological site in Crete. Different electrode arrays (dipole-dipole, pole-dipole,  
426 gradient) and survey modes (floating vs. submerged) were tested along a line that  
427 crossed known submerged wall structures. The data analysis and results verified and  
428 enhanced the numerical modeling simulation thus establishing the effectiveness of the  
429 method.

430

431 In general this work shows the applicability, the potential as well as the constraints of  
432 the ERT in mapping isolated archaeological structures (e.g. walls or buildings) in



433 shallow marine environments. These promising results can render ERT novella useful  
434 tool in the service of archaeological investigation of coastal and shallow marine sites. It  
435 can definitely integrated in wider archaeological projects in order to extract quantitative  
436 new information about submerged cultural material that is inaccessible to the standard  
437 mapping techniques.

438

#### 439 **ACKNOWLEDGEMENTS**

440 This work was performed in the framework of the PEFYKA project within the KRIPIS  
441 Action of the GSRT. The project is funded by Greece and the European Regional  
442 Development Fund of the European Union under the NSRF and the O.P. Competitiveness  
443 and Entrepreneurship.

444

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